

# Formation and Fate of Benzimidazole-Based Quinone Methides. Influence of pH on Quinone Methide Fate

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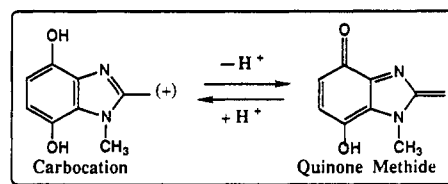
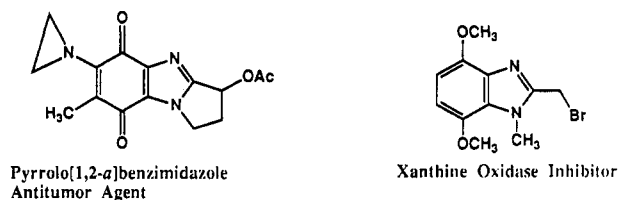
Received March 12, 1992 (Revised Manuscript Received July 20, 1992)

The influence of pH on quinone methide fate was assessed from a comparative hydrolytic study of benzimidazole hydroquinones and their *O*-methylated analogues. Elimination of a leaving group from the hydroquinones affords the carbocation or the quinone methide depending on the pH. The *O*-methylated analogues, on the other hand, can only afford the carbocation species. Evidence is presented herein that the quinone methide species is reversibly protonated to afford the carbocation species. The acid dissociation constant for this equilibrium is  $pK_a$  5.5. Above pH 5.5, the quinone methide species traps both nucleophiles and the proton. Below pH 5.5, the quinone methide species is protonated to afford the carbocation species, which exclusively traps nucleophiles. Therefore, the carbocation acid dissociation constant can be used to predict quinone methide fate as a function of pH.

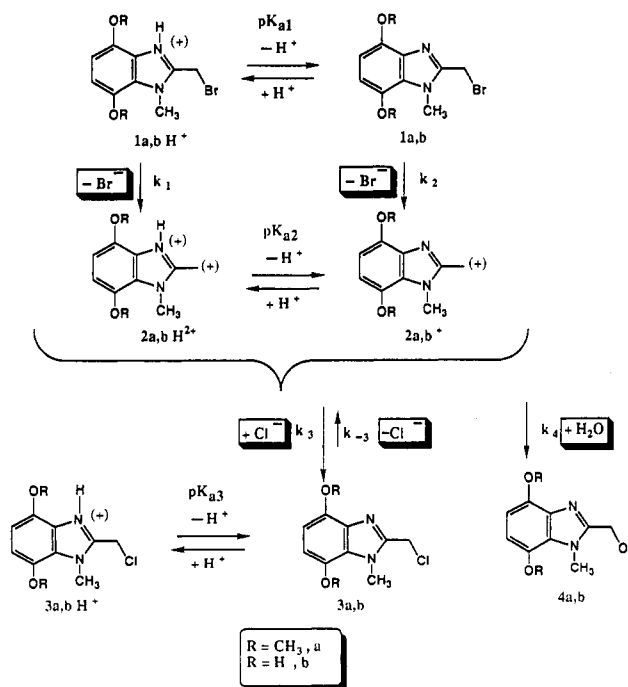
Many naturally occurring quinones are functionalized with a leaving group so as to permit formation of an alkylating quinone methide species upon quinone reduction and elimination of the leaving group.<sup>2</sup> Reductive alkylating systems of this type often exhibit antitumor activity, perhaps due to the low reduction potential of some solid tumors.<sup>3</sup> Work in this laboratory has, therefore, been directed toward the study of heterocyclic reductive alkylating agents as cancer drugs.<sup>4-10</sup> A particularly fruitful area of endeavor has been the study of benzimidazole-based alkylating agents. One such agent, the pyrrolo[1,2-*a*]benzimidazole in Chart I, was found to possess DNA cleaving and antitumor properties.<sup>10-12</sup> Another agent is the methoxylated benzimidazole in Chart I which alkylates the reduced FAD cofactor of xanthine oxidase.<sup>13</sup> These examples prompted an in-depth study of benzimidazole quinone methide and carbocation chemistry, the results of which are described in this report.

From a comparative hydrolytic study of benzimidazole hydroquinones and their *O*-methylated analogues, it was possible to assess the influence of pH on quinone methide fate. Previous studies of daunomycin<sup>14</sup> and mitomycin C<sup>15</sup> have noted a pH dependency for quinone methide fate. The present study, which was carried over a pH range of 0 to 9, provides evidence of quinone methide protonation (see inset of Chart I). The protonated quinone methide is a carbocation that only traps nucleophiles. On the other hand, the quinone methide traps nucleophiles and elec-

Chart I



Scheme I



trophiles such that the product ratio is independent of pH.

## Results and Discussion

Preliminary results of hydrolytic studies of **1a** have appeared in a paper on xanthine oxidase cofactor alkylation.<sup>13</sup> The hydrolysis of **1b** above pH 6 was reported in a paper dealing with quinone methide chemistry.<sup>4</sup>

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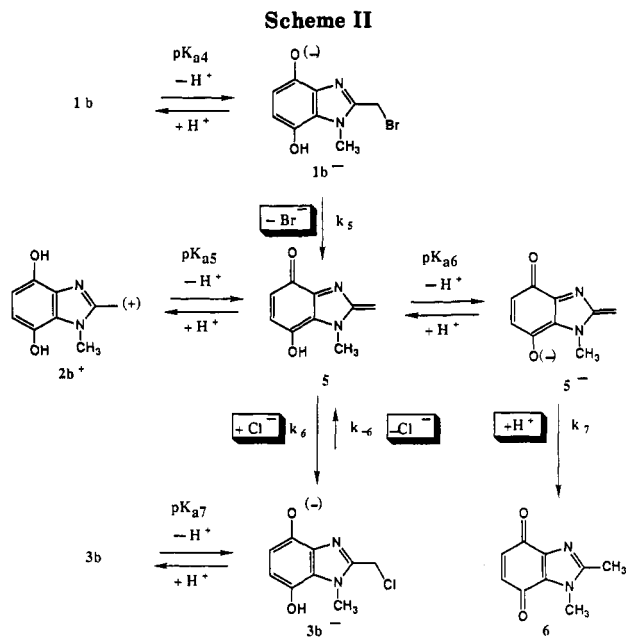
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The hydrolysis of benzimidazole hydroquinones **1b** and the *O*-methylated analogues **1a** was studied over the pH range of 0 to 9 in  $\mu = 1.0$  (KCl) buffer, see Schemes I and II for structures. Strict anaerobic conditions were employed for hydrolysis studies of air-sensitive hydroquinones. The *O*-methylated derivatives are not air-sensitive, and therefore aerobic conditions can be employed for hydrolysis studies of these analogues. Repetitive scanning results (not shown) revealed that the conversion of bromo derivatives **1a,b** to the chloro derivatives **3a,b** is accompanied by a substantial absorbance change at 240 nm. The conversion of **3a,b** to the hydroxy derivatives **4a,b** is likewise accompanied by a large absorbance change at this wavelength. Thus, it was possible to follow the change of substituents at the  $2\alpha$ -position of the benzimidazole systems during hydrolysis. Above pH 6, quinone methide formation from **1b** and **2b** results in a quinone product, whose formation was followed spectrally at 430 nm. The results of the above hydrolysis studies are outlined below.

**O**-Methylated hydroquinone **1a** hydrolyzed by a two consecutive first-order (biphasic) rate law over the pH range of 0 to 9. The first kinetic phase resulted from the conversion of **1a** to **3a** and the second kinetic phase resulted from the conversion of **3a** to **4a**. Evidence of the intermediacy of **3a** was obtained from isolation,  $^1\text{H-NMR}$ , and kinetic studies. The preparative hydrolysis of **1a** afforded **3a** when the reaction time extended over 2.7 half-lives of the first kinetic phase. After a long period of time, the final product was **4a**.<sup>13</sup> If chloride is not present in the buffer (pH 5, 1 M acetate), then **4a** and the  $2\alpha$ -acetate are obtained as first kinetic phase products. The hydrolysis reaction was also carried out in pD 6.95 ( $\mu = 1.0$ , KCl) buffer and the  $2\alpha$ -proton resonances were monitored by repetitive  $^1\text{H-NMR}$  scans. In this experiment, the resonance for the chloromethyl derivative (**3a**) rapidly built up followed by slow buildup of the hydroxymethyl derivative **4a**. Kinetic evidence of the **3a** intermediate came from the observations that rates for the second kinetic phase of **1a** hydrolysis could be duplicated with authentic **3a**.

Rate data for the first kinetic phase are plotted as the log vs pH in Figure 1. The shape of this pH-rate profile indicates the presence of plateaus for neutral and protonated **1a** ( $\text{p}K_{\text{a}}$  for acid dissociation from  $1\text{aH}^+$  is 4.09).

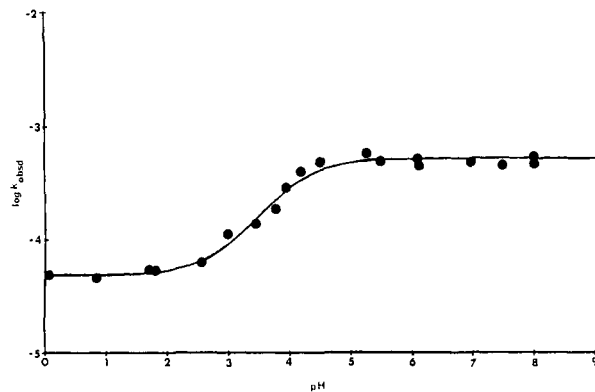


Figure 1. Plot of  $\log k_{\text{obsd}}$  vs pH for the first kinetic phase of **1a** hydrolysis obtained under aerobic conditions.

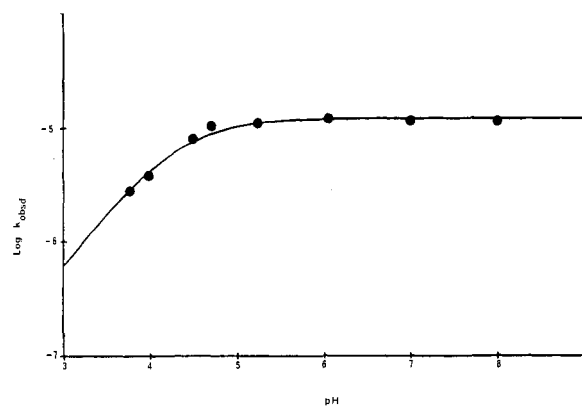


Figure 2. Plot of  $\log k_{\text{obsd}}$  vs pH for the second kinetic phase of **1a** hydrolysis obtained under aerobic conditions.

Accordingly, the mechanism in Scheme I shows bromide elimination from both forms of **1a** to afford the carbocations  $2\text{aH}^{2+}$  and  $2\text{a}^+$ . Trapping of these carbocations by chloride in a non-rate-determining step then affords the observed product **3a**.

The pH-rate law for the process described above is derived considering material balance in  $1\text{aH}^+$  and **1a**

$$k_{\text{obsd}} = \frac{k_1 a_{\text{H}} + k_2 K_{\text{a}1}}{a_{\text{H}} + K_{\text{a}1}} \quad (1)$$

where  $k_1$ ,  $k_2$ , and  $K_{\text{a}1}$  are constants shown in Scheme I and  $a_{\text{H}}$  is the proton activity determined with a pH meter. Computer fitting of the data in Figure 1 to eq 1 provided  $k_1 = 4.9 \times 10^{-5} \text{ s}^{-1}$ ,  $k_2 = 5.2 \times 10^{-4} \text{ s}^{-1}$ , and  $\text{p}K_{\text{a}1} = 4.01$ . This solution was used to generate the solid line shown in Figure 1.

The kinetically obtained value for  $\text{p}K_{\text{a}1}$  closely matches the measured value of 4.09. The near identity of the kinetic and independently measured  $\text{p}K_{\text{a}}$  values supports the mechanism in Scheme I. A substantial  $\text{p}K_{\text{a}}$  difference would be an indication of a more complex mechanism.<sup>16</sup> The other mechanisms described below also exhibit near identity in kinetic and independently measured  $\text{p}K_{\text{a}}$  values.

Rate data for the second kinetic phase are plotted as the log vs pH in Figure 2. The shape of this profile indicates that only the neutral form of **3a** reacts to form product. Thus there is only one plateau in the pH region where neutral **3a** is the predominant species ( $\text{p}K_{\text{a}}$  for  $3\text{aH}^+$  is 4.11). The conversion of **3a** to **4a** is presumed to occur by reversible carbocation formation from **3a** followed by irreversible trapping of the carbocation species. Consider-

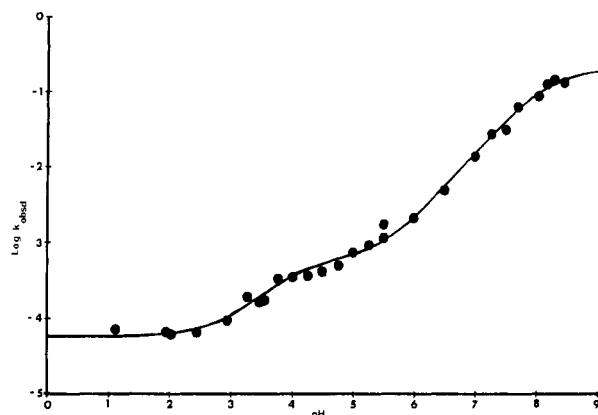


Figure 3. Plot of  $\log k_{\text{obsd}}$  vs pH for the first kinetic phase of 1b hydrolysis obtained under anaerobic conditions.

ation of this mechanism, material balance in 3a and  $3aH^+$ , and the presence of steady-state concentrations of 2a provides the rate law in eq 2

$$k_{\text{obsd}} = \frac{\bar{K}k_{-3}K_{a3}}{a_H + K_{a3}} \quad (2)$$

$$\bar{K} = \frac{k_4a_{H_2O}}{k_3a_{Cl} + k_4a_{H_2O}}$$

where  $k_{-3}$ ,  $k_3$ ,  $K_{a3}$ , and  $k_4$  are constants in Scheme I,  $a_H$  is the proton activity, and  $a_{Cl}$  is the chloride activity. Fitting eq 2 to the data in Figure 2 provides  $\bar{K}k_{-3} = 1.23 \times 10^{-5}$  and  $pK_{a3} = 4.28$ . The kinetically obtained value for proton dissociation from  $3aH^+$  is nearly identical to the measured value of 4.11.

The mechanism shown in Scheme I shows the intermediacy of a carbocation species for both substitution reactions. Thus, added azide did not affect the rate of hydrolysis although the  $2\alpha$ -azide derivative was obtained as the product (see ref 13 for a description of this study). This observation requires that the nucleophilic trapping occur after the rate-determining formation of the carbocation species.

Hydroquinone 1b also hydrolyzed by two consecutive (biphasic) first-order rate law over the pH range of 1–8.5. The first kinetic phase products are the chloromethyl derivative 3b in the 1–5.5 pH range and both 6 and 3b in the 5.5–8.5 pH range. In the second kinetic phase, 3b is converted to the hydroxymethyl derivative 4b over the 1–5.5 pH range and to the quinone 6 over the 5.5–8.5 pH range. Reactions occurring in both pH ranges are illustrated in Schemes I and II.

In the present study, the formation of 3b and 4b was verified by  $^1H$ -NMR studies of the reaction of pD 4.00 buffer ( $\mu = 1.0$ , KCl) and by kinetic studies.  $^1H$ -NMR scans showed the formation of 3b from 1b followed by the slow buildup of 4b. Consistent with the intermediacy of 3b, the second kinetic phase rates could be duplicated by starting with authentic 3b. A previous report described the formation of 3b and 6 during hydrolysis of 1b in the 6–8 pH range.<sup>4</sup>

Rate data for the first kinetic phase are plotted as the log vs pH in Figure 3. This pH-rate profile shows three plateaus corresponding to involvement of the N(1)-protonated hydroquinone ( $pK_a = 3.99$ ), the neutral hydroquinone ( $pK_a$  for hydroxyl proton dissociation = 8.13), and the hydroquinone hydroxyl anion in the rate-determining steps. The mechanisms in Schemes I and II, therefore, show elimination of bromide from each of the three species. The pH-rate law for this process is shown

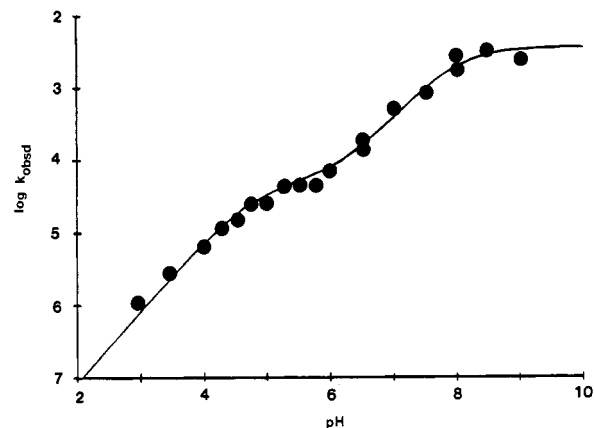


Figure 4. Plot of  $\log k_{\text{obsd}}$  vs pH for the second kinetic phase of 1b hydrolysis obtained under anaerobic conditions.

in eq 3 and was derived considering material balance in  $1bH^+$ , 1b, and  $1b^-$

$$k_{\text{obsd}} = \frac{k_1a_H^2 + k_2a_HK_{a1} + k_2K_{a1}K_{a4}}{a_H^2 + a_HK_{a1} + K_{a1}K_{a4}} \quad (3)$$

where  $k_1$ ,  $k_2$ , and  $K_{a1}$  are constants in Scheme I and  $k_5$  and  $K_{a4}$  are constants in Scheme II. Computer fitting of the data in Figure 3 to eq 3 provided  $k_1 = 5.9 \times 10^{-5} \text{ s}^{-1}$ ,  $k_2 = 5.3 \times 10^{-4} \text{ s}^{-1}$ ,  $k_5 = 0.22 \text{ s}^{-1}$ ,  $pK_{a1} = 3.98$ , and  $pK_{a4} = 8.13$ . Consistent with the postulated mechanism, the kinetically obtained value of  $pK_{a1}$  is the same as the measured value (3.99). The value of  $pK_{a4}$  was not determined independently.

The pH-rate data obtained for 1b below pH 5.5 are nearly identical with those obtained for 1a. Note the values for  $k_1$  ( $4.9 \times 10^{-5} \text{ s}^{-1}$  and  $5.9 \times 10^{-5} \text{ s}^{-1}$ ) and  $k_2$  ( $5.2 \times 10^{-4} \text{ s}^{-1}$  and  $5.3 \times 10^{-4} \text{ s}^{-1}$ ) obtained from the hydrolytic studies of 1a and 1b, respectively. The hydroxy group exerts nearly the same resonance electron releasing effect as the methoxy group. Therefore, carbocation formation from 1a and 1b should in fact occur with identical rate constants.

Dissociation of the hydroxy proton of 1b ( $pK_a = 8.13$ ) results in facile bromide elimination at pH values  $> 5.5$ . Comparison of elimination rate constants for 1b ( $k_2 = 5.3 \times 10^{-4} \text{ s}^{-1}$ ) and  $1b^-$  ( $k_5 = 0.22 \text{ s}^{-1}$ ) indicates that a 415-fold increase in the elimination rate constant accompanies hydroxyl anion formation. The elimination products are the quinone methide species 5 and  $5^-$ , which either trap chloride or a proton to afford 3b and 6, respectively.<sup>4</sup>

Rate data for the second kinetic phase are plotted as the log vs pH in Figure 4. The two plateaus in this figure indicate that both the neutral and hydroxyl anion forms of 3b react to form product. The reaction below pH 5.5 is thought to involve reversible carbocation formation followed by water trapping of the carbocation species to afford 4b. Above pH 5.5, the quinone methide is reversibly formed by chloride elimination from  $3b^-$  and is then trapped by a proton to afford 6. The pH-rate law for this process was derived by considering both material balance in 3b and  $3b^-$  and the presence of steady-state concentrations of carbocation and quinone methide

$$k_{\text{obsd}} = \frac{a_Hk_3\bar{K}K_{a3} + k_5\bar{K}K_{a3}K_{a7}}{a_H^2 + a_HK_{a3} + K_{a3}K_{a7}} \quad (4)$$

$$\bar{K} = \frac{k_4a_{H_2O}}{k_3a_{Cl} + k_4a_{H_2O}} \quad \bar{K} = \frac{k_7K_{a6}}{k_6a_{Cl} + k_7K_{a6}}$$

where the rate and equilibrium constants are those shown

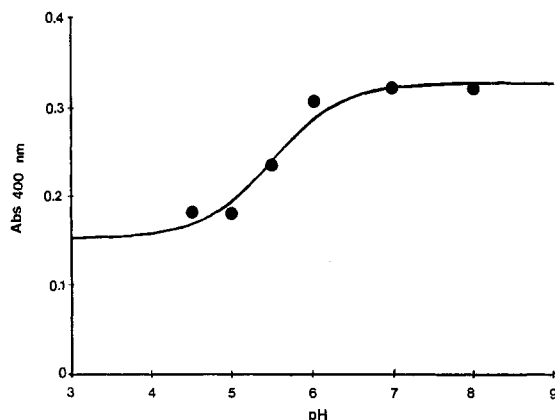


Figure 5. Plot of absorbance ( $\lambda = 400$  nm) at the conclusion of the first kinetic phase of 1b hydrolysis vs pH of the reaction mixture. The solid line was computer-generated from eq 6.

in Schemes I and II. Computer fitting the data in Figure 4 to eq 4 provided  $\bar{K}k_{-3} = 4.79 \times 10^{-5} \text{ s}^{-1}$ ,  $\bar{K}k_{-6} = 3.50 \times 10^{-3} \text{ s}^{-1}$ ,  $\text{p}K_{a3} = 4.76$ , and  $\text{p}K_{a7} = 7.95$ . Consistent with the postulated mechanism, the kinetic  $\text{p}K_a$  values approximate the independently determined values of  $\text{p}K_{a3}$  and  $\text{p}K_{a7}$  ( $4.03 \pm 0.18$  and  $8.4 \pm 0.3$ , respectively).

The rate constant obtained for 3b hydrolysis below pH 5.5 is in the same range as the rate constant obtained for 3a hydrolysis. Compare the values for  $\bar{K}k_{-3}$  ( $1.23 \times 10^{-5} \text{ s}^{-1}$  and  $4.79 \times 10^{-5} \text{ s}^{-1}$ ) obtained from the hydrolytic studies of 3a and 3b, respectively. Both the similar resonance electron releasing properties of the hydroxyl and methoxy groups, and the same carbocation mechanism, account the similarity in rate constants.

**Carbocation Acid Dissociation Constant.** From the foregoing results, it is apparent that hydroquinones 1b and 3b hydrolyze via a carbocation mechanism below pH 5.5. Thus the rate constants and products of hydroquinone hydrolysis are similar to those obtained with the *O*-methylated derivatives 1a and 3a, which are known to hydrolyze via a carbocation. Above pH 5.5, hydroquinone hydrolysis proceeds via a quinone methide. The switch from a carbocation to a quinone methide intermediate is proposed to be a consequence of the acid dissociation  $2b^+ \rightleftharpoons 5 + H^+$ .

An estimation of the carbocation acid dissociation constant can be obtained from a plot of quinone 6 concentration vs pH. According to the mechanism in Scheme II, the quinone arises only from the quinone methide species and not from the carbocation. Therefore, the amount of quinone product should be a reflection of the amount of quinone methide formed in the course of hydrolysis. Shown in Figure 5 is a plot of the absorbance of 6 at  $\lambda_{\text{max}} = 400$  nm vs pH. These data were obtained from hydrolysis reactions of 1b at the conclusion of the first kinetic phase. Fitting the data in Figure 5 to the spectrophotometric  $\text{p}K_a$  equation (see Experimental Section) provided  $\text{p}K_a = 5.5 \pm 0.3$ , for proton dissociation from carbocation  $2b^+$ . This solution was used to generate the solid curve in Figure 5. The absorbance at 400 nm in Figure 5 for pH values  $< 5$  is due to trailing from strong absorbance in the UV region. Product studies have in fact verified that 6 is not formed at pH values  $< 5$ .

If protonation of 5 to afford  $2b^+$  occurred only in strong acid, the ratio of  $[3b]/[6]$  at the conclusion of the first kinetic phase of 1b hydrolysis would be constant throughout the entire pH range studied. This conclusion is based on the observations that the ratio of  $[3b]/[6]$  is independent of pH above pH 5.5, see ref 4. The mechanism in Scheme II explains why this is so. Formation of

6 involves carbon protonation of the anionic quinone methide ( $5^-$ ). The proton activity therefore cancels from the rate expression for the formation of 3b and 6. The trapping of chloride to afford 3b also involves the neutral quinone methide species. Since both processes involve the "neutral" quinone methide species regardless of pH, the product ratio is independent of pH. The results shown in Figure 5 show the constant value of  $[6]$  obtained at pH values  $> 5.5$ . However, at pH values  $< 5.5$ , 6 was not isolated nor was it seen spectrally (UV and  $^1\text{H-NMR}$ ). Therefore, it was necessary to invoke the presence of a carbocation intermediate below this pH value.

The protonation of a carbonyl oxygen usually requires very strong acid (pH  $< 0$ ), even when the resulting carbocation is resonance-stabilized.<sup>17</sup> In contrast, the quinone methide 5 is protonated in relatively high pH buffers. The driving force for protonation is probably the conversion of the quinonoid species 5 to the aromatic benzimidazole ring system of  $2b^+$ . Indeed the protonation of heptalene to afford an aromatic carbocation is reported to occur with a  $\text{p}K_a > 7$ .<sup>18</sup>

### Conclusions

Evidence is presented that the transient quinone methide species 5 is reversibly protonated to afford carbocation  $2b^+$ ,  $\text{p}K_a = 5.5 \pm 0.3$ . It is concluded from these studies that other quinone methides, particularly the electron-rich mitomycin and anthracycline species, can be protonated to afford a carbocation species. Another conclusion of this study concerns the influence of quinone methide protonation on quinone methide fate. The generalizations below may pertain to other quinone methides as well as the benzimidazole system 5. Protonation of the quinone methide results in a carbocation which exclusively traps nucleophiles. The quinone methide itself traps both nucleophiles and protons such that the ratio of trapping products is independent of pH. Therefore, quinone methide fate should vary from nucleophile trapping at low pH values to both proton and nucleophile trapping above the carbocation  $\text{p}K_a$ . A noteworthy observation is the selective trapping of chloride by the carbocations  $2a, b^+$ . Likewise, McClelland and co-workers<sup>19</sup> have observed that the triphenyl carbocation more rapidly combines with chloride than with water. The selective trapping of chloride by  $2a, b^+$  may be due to carbocation stabilization by the electron-releasing hydroxy or methoxy groups resulting in enhanced nucleophile selectivity.<sup>20</sup>

The above conclusions must be applied to the results of other studies with caution. The study described herein was carried out with constant concentrations of chloride nucleophile in aqueous buffers throughout the pH range studied. In contrast, other studies have been carried out with sulfur anionic nucleophiles, the concentrations of which change with pH due to nucleophile protonation. Another contrast is the use of methanolic buffers to slow proton trapping of the quinone methide (see ref 14b and references therein). As a result, the constant ratio of nucleophile and proton trapping with pH may not be observed in these studies. In spite of the above caveats, the results of studies by Tomasz and Lipman, in the area of mitomycin C quinone methide trapping at low pH, are

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consistent with the conclusions of the present study. They have observed predominant nucleophile (phosphate) trapping by the mitomycin C quinone methide (carbocation?) at low pH.<sup>15a</sup>

### Experimental Section

Hydroquinones and their *O*-methylated derivatives were prepared according to the literature.<sup>4,13</sup> The kinetic studies were carried out in buffers prepared with doubly distilled water and adjusted to  $\mu = 1.0$  with KCl. The following buffer systems were employed to hold pH: HCl/water, formic acid/formate ( $pK_a = 3.6$ ), acetic acid/acetate ( $pK_a = 4.55$ ), phosphate monobasic/phosphate dibasic ( $pK_a = 6.50$ ), and boric acid/borate ( $pK_a = 9.2$ ). These  $pK_a$  values were obtained at  $30.0 \pm 0.2$  °C in  $\mu = 1.0$  (KCl) aqueous solutions. Measurements of pH were made with a Radiometer GK2401C combination electrode.

**Kinetic Studies of Hydrolysis.** The hydrolytic studies of the hydroquinones were carried out in anaerobic aqueous buffers employing Thunberg cuvettes as previously described.<sup>21</sup> The *O*-methylated derivatives were studied in aerobic buffer.

Both aerobic and anaerobic studies were carried out as follows: A dimethyl sulfoxide stock of the compound to be studied was prepared fresh and 50  $\mu$ L of this stock was added to 2.95 mL of buffer. The absorbance vs time data were collected on a UV-vis spectrophotometer in thermostated cells held at  $30.0 \pm 0.2$  °C. These data were computer-fit to the two consecutive first-order equation for the general process  $A \rightarrow B \rightarrow C$ .<sup>22</sup>

$$\text{absorbance} = X e^{-k_a t} + Y e^{-k_b t} + Z \quad (5)$$

$$X = \epsilon_A[A_0] - \epsilon_C[A_0] + (\epsilon_B[A_0] - \epsilon_C[A_0])[k_a/(k_b - k_a)]$$

$$Y = \epsilon_C[A_0] - \epsilon_B[A_0][k_a/(k_b - k_a)]$$

$$Z = \epsilon_C[A_0]$$

where  $\epsilon_A[A_0]$ ,  $\epsilon_B[A_0]$ , and  $\epsilon_C[A_0]$  are the maximum possible absorbances of A, B, and C in the process  $A \rightarrow B \rightarrow C$ ,  $[A_0]$  is the

initial concentration of A, and  $\epsilon$ 's are extinction coefficients of A, B, and C. The first kinetic phase is designated by  $k_a$  and the second kinetic phase by  $k_b$ . The rate constants plotted on the pH-rate profiles (Figure 1-4) were obtained from the computer fits to the above equation, based on the difference between the data points and the computer-generated curve. Standard errors ranged from 4% for Figures 1 and 2 to 7% for Figures 3 and 4. The absorbance values plotted in Figure 5 were also obtained from such computer fits (absorbance at the conclusion of the first kinetic phase is  $X$  in the equation).

**$pK_a$  determinations** for hydroquinones were carried out in anaerobic aqueous buffer employing Thunberg cuvettes. The  $pK_a$  determinations for the *O*-methylated analogues were carried out in aerobic buffers. Both aerobic and anaerobic  $pK_a$  determinations were made by computer-fitting absorbance vs pH data, obtained in  $\mu = 1.0$  (KCl)  $30.0 \pm 0.2$  °C aqueous buffer, to the following equation

$$\text{absorbance} = \frac{A_T \alpha_H \epsilon_{HA} + A_T \epsilon_A K_a}{\alpha_H + K_a} \quad (6)$$

where  $A_T$  is the total concentration of acid and conjugate base ( $[AH] + [A]$ ),  $\epsilon_{AH}$  is the extinction coefficient of the acid form,  $\epsilon_A$  is the extinction coefficient of the conjugate base,  $\alpha_H$  is the proton activity determined with a glass electrode, and  $K_a$  is the acid dissociation constant obtained from the fit.

**<sup>1</sup>H NMR Studies of Hydrolysis.** An <sup>1</sup>H-NMR study of the hydrolysis of **1a** (0.015 M) was carried out in DMSO-*d*<sub>6</sub>/0.05 M pD 6.95 phosphate buffer  $\mu = 1.0$  (KCl) (3:1) with TSP-*d*<sub>4</sub> as the reference. The conversion of **1a** to **3a** corresponded to the following chemical shift changes:  $\delta$  4.80-4.96 (2-CH<sub>2</sub>-X) and 4.02-4.04 (N(1)-CH<sub>3</sub>). After 4 days, the final spectrum was that of **4a**:  $\delta$  4.86 (2-CH<sub>2</sub>OH) and 4.07 (N(1)-CH<sub>3</sub>).

An <sup>1</sup>H-NMR study of the hydrolysis of **1b** (0.05 M) was carried out in pD 4.00 acetate buffer  $\mu = 1.0$  (KCl) under strict anaerobic conditions. The conversion of **1b** to **3b** corresponded to a shift from  $\delta$  4.80 to 4.95 for 2-CH<sub>2</sub>-X. After several days, the final product **4b** was observed.

**Acknowledgment.** Funding from the National Institutes of Health and the National Science Foundation is gratefully acknowledged.

## Syntheses and Diels-Alder Cycloaddition Reactions of 4*H*-Furo[3,4-*b*]indoles. A Regiospecific Diels-Alder Synthesis of Ellipticine

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Received June 10, 1992

Seven examples of the novel 4*H*-furo[3,4-*b*]indole ring system (3-9)—a stable, synthetic analogue of indole-2,3-quinodimethane—have been synthesized in 6-8 steps from simple indoles in overall yields of 21-28%. These 4*H*-furo[3,4-*b*]indoles undergo Diels-Alder reactions with several dienophiles (dimethyl acetylenedicarboxylate, *N*-phenylmaleimide, benzyne), including ethyl acrylate, which reacts regiospecifically with furoindole **4** to afford a single carbazole ester (**59**). This result, predicted by molecular orbital calculations, was used to design and execute a regiospecific Diels-Alder synthesis of the antitumor alkaloid ellipticine (**63**). Thus, the trimethylsilyl triflate-induced reaction between furoindole **4** and dihydropyridone **68b** is  $\geq 99\%$  regioselective and affords lactam **70b** in 89% yield. Further manipulation gives ellipticine (**63**) with no detectable (<1%) isoellipticine (**64**) in the crude product.

Over the past ten years, indole-2,3-quinodimethanes (**1**) and their stable cyclic analogues (**2**) have been the focus of considerable interest.<sup>1</sup> Although indole-2,3-quinodimethanes were earlier implicated by Bergman<sup>2</sup> and oth-

ers<sup>3,4</sup> as intermediates in alkaloid synthesis, and by Hofheinz<sup>5</sup> in alkaloid rearrangement, it was the research of

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